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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andreas BÖHM
Helmut MEYER

Serial No.: 10/693,270

Filing Date: 24 October 2003

Group: 3654

Title: WINDING APPARATUS AND
METHOD FOR PERFORMING A
CHANGE OF WINDING TUBE IN A
WINDING APPARATUS

Customer No.: 42419

REQUEST FOR CORRECTION OF FILING RECEIPT

**Office of Initial Patent Examination's
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

Dear Sir:

Applicants received the Filing Receipt issued in connection with the subject U.S. Patent Application. On 17 February 2004, Applicants filed a Notification of Amendment to Claim for Priority with the U.S. Patent and Trademark Office.

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

09 AUG 2004

09 AUG 2004
Date

Boud J. Paulsen
Signature

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I/clb

Serial No.: 10/693,270

Applicants have not received an Updated or a Corrected Filing Receipt showing the requested Amendment in the Notification of Amendment to Claim for Priority.

The correct priority claim is:

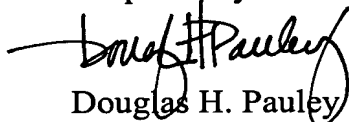
EUROPEAN PATENT APPLICATION 020 23 945.5 10/25/2002

Applicants have enclosed a copy of the Response to Notice to File Missing Parts of Nonprovisional Application, a copy of the Notification of Amendment to Claim for Priority, a copy of the executed German Language Declaration and a copy of a return receipt postcard stamped by the Mail Room of the U.S. Patent and Trademark Office.

Applicants are also enclosing a copy of the Filing Receipt with the requested correction shown thereon in red.

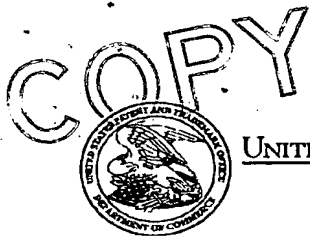
Applicants respectfully request a Corrected Filing Receipt be issued in this case.

Respectfully submitted,



Douglas H. Pauley
Registration No. 33,295

Pauley Petersen & Erickson
2800 West Higgins Road; Suite 365
Hoffman Estates, Illinois 60195
TEL (847) 490-1400
FAX (847) 490-1403



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/693,270	10/24/2003	3654	0.00	MMG-125	5	14	3

Pauley Petersen & Erickson
Suite 365
2800 W. Higgins Road
Hoffman Estates, IL 60195



CONFIRMATION NO. 9069

FILING RECEIPT



OC000000011757929

Date Mailed: 01/26/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Andreas Bohm, Troisdorf, GERMANY;
Helmut Meyer, Troisdorf, GERMANY;

Domestic Priority data as claimed by applicant

Foreign Applications

~~GERMANY~~ 020 23 945.5 10/25/2002

EUROPEAN PATENT APPLICATION

If Required, Foreign Filing License Granted: 01/23/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Winding apparatus and method for performing a change of winding tube in a winding apparatus

Preliminary Class

COPY
242

COPY

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

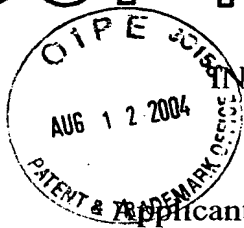
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andreas BÖHM
Helmut MEYER

Serial No.: 10/693,270

Filing Date: 24 October 2003

Title: WINDING APPARATUS AND
METHOD FOR PERFORMING A
CHANGE OF WINDING TUBE IN A
WINDING APPARATUS

Group: 3654

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION**

Mail Stop MISSING PARTS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional
Application mailed 26 January 2004, we have enclosed the items listed below.

- Notification of Amendment to Claim for Priority (2 pages)
- Substitute executed German Language Declaration (4 pages),
attached to a copy of the U.S. Patent Application as originally

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

17 FEB 2004

17 FEB 2004
Date

Douglas Paulsen
Signature

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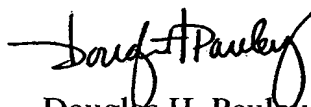
Serial No.: 10/693,270

filed in order to obtain a filing date for the referenced application

- First Information Disclosure Statement (4 pages), together with Form PTO-1449 (3 pages), and with a copy of each and every cited non-U.S. Patent document and U.S. Patent Application 10/117,684
- A check in the amount of \$900.00 for the filing fee and surcharge for late filing of the executed declaration
- A copy of the Notice to File Missing Parts of Nonprovisional Application (2 pages)
- Return Receipt Postcard

The Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 19-3550. A duplicate of this sheet is enclosed.

Respectfully submitted,



Douglas H. Pauley
Registration No. 33,295

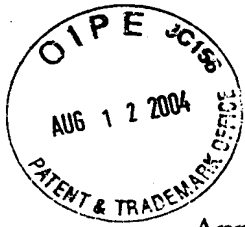
Pauley Petersen & Erickson
2800 West Higgins Road; Suite 365
Hoffman Estates, Illinois 60195
TEL (847) 490-1400 FAX (847) 490-1403

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andreas BÖHM
Helmut MEYER

Serial No.: 10/693,270

Filing Date: 24 October 2003

Group: 3654

Title: WINDING APPARATUS AND METHOD
FOR PERFORMING A CHANGE OF
WINDING TUBE IN A WINDING
APPARATUS

NOTIFICATION OF AMENDMENT TO CLAIM FOR PRIORITY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants kindly request the U.S. Patent and Trademark Office to amend the previously filed claim for priority by deleting the country identified as "Germany" and in its place inserting --European Patent Office--. Thus, Applicants respectfully request the U.S. Patent and Trademark Office to enter a claim for priority

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

17 FEB 2004

17 FEB 2004
Date

Douglas Paul
Signature

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Serial No.: 10/693,270

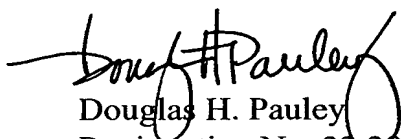
benefits under Title 35 U.S.C. 119 of **European Patent Application No. 02 023 945.5** which was filed with the European Patent Office on **25 October 2002**.

Applicants originally filed an unexecuted German Language Declaration, on 25 October 2003, which identified the priority country as "Germany" which should have been "European Patent Office." Applicants kindly request correction or amendment of the previously filed claim for priority.

Applicants have attached a substitute executed German Language Declaration, showing the proper claim for priority of European Patent Application 02 023 945.5.

Should the Examiner have any question or concern, Applicants kindly request the Examiner to contact the undersigned, preferably by telephone.

Respectfully submitted,


Douglas H. Pauley
Registration No. 33,295

Pauley Petersen & Erickson
2800 West Higgins Road; Suite 365
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FAX (847) 490-1403

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Declaration and Power of Attorney For Patent Application

Erklärung Für Patentanmeldungen Mit Vollmacht

German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen,

dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

WICKELEINRICHTUNG SOWIE VERFAHREN ZUR DURCHFÜHRUNG EINES WICKELHÜLSENWECHSELS IN EINER WICKELEINRICHTUNG

deren Beschreibung

(zutreffendes ankreuzen)

☒ hier beigefügt ist.

☐ am _____ unter der

Anmeldungsseriennummer _____

eingereicht wurde und am _____
abgeändert wurde (falls tatsächlich
abgeändert).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

WINDING APPARATUS AND METHOD FOR PERFORMING A CHANGE OF WINDING TUBE IN A WINDING APPARATUS

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as

Application Serial No. _____

and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

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German Language Declaration

Prior foreign applications
Priorität beansprucht

Priority Claimed

<u>020 23 945.5</u> (Number) (Nummer)	<u>European Patent Office</u> (Country) (Land)	<u>25 October 2002</u> (Day/Month/Year Filed) (Tag/Monat/Jahr eingereicht)	<input checked="" type="checkbox"/> Yes Ja	<input type="checkbox"/> No Nein
<u> </u> (Number) (Nummer)	<u> </u> (Country) (Land)	<u> </u> (Day/Month/Year Filed) (Tag/Monat/Jahr eingereicht)	<input type="checkbox"/> Yes Ja	<input type="checkbox"/> No Nein
<u> </u> (Number) (Nummer)	<u> </u> (Country) (Land)	<u> </u> (Day/Month/Year Filed) (Tag/Monat/Jahr eingereicht)	<input type="checkbox"/> Yes Ja	<input type="checkbox"/> No Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 112 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT Internationale Anmeldedatum dieser Anmeldung bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

None	None	None	None
<u>(Application Serial No.)</u> (Anmeldeseriennummer)	<u>(Filing Date)</u> (Anmeldedatum)	<u>(Status)</u> (patentiert, anhangig aufgegeben)	<u>(Status)</u> (patented, pending, abandoned)
None	None	None	None
<u>(Application Serial No.)</u> (Anmeldeseriennummer)	<u>(Filing Date)</u> (Anmeldedatum)	<u>(Status)</u> (patentiert, anhangig aufgegeben)	<u>(Status)</u> (patented, pending, abandoned)

Ich erkläre hiermit, dass alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und dass ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, dass wissentlich und vorsätzlich falsche Angaben gemäss Paragraph 1001, Absatz 18 der Zivilprozessordnung der Vereinigten Staaten von Amerika mit Geldstrafe belegt und/oder Gefängnis bestraft werden koennen, und dass derartig wissentlich und vorsätzlich falsche Angaben die Gültigkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patenten gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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German Language Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt: (Name und Registrationsnummer antühren)

Thomas W. Speckman Douglas H. Pauley
Reg. No. 22,617 Reg. No. 33,295

Maxwell J. Petersen Roland W. Norris
Reg. No. 32,772 Reg. No. 32,799

Kevin D. Erickson Melanie I. Rauch
Reg. No. 38,736 Reg. No. 40,924

Nick C. Kottis
Reg. No. 31,974

Eric T. Krischke
Reg. No. 42,769

Telefongespräche bitte richten an:
(Name und Telefonnummer)

Douglas H. Pauley (847) 490-1400
Postanschrift:

Pauley Petersen & Erickson
2800 W. Higgins Road, Suite 365
Hoffman Estates, IL 60195

BEVOLLMÄCHTIGUNG DER ANWÄLTE, AUFTRÄGE UND INSTRUKTIONEN VOM VERTRETER DES ANMELDERS ENTGEGENZUNEHMEN UND AUSZUFÜHREN

Der die Erklärung und Vollmacht Unterzeichnende ermächtigt die obengenannten Rechtsanwälte in den USA Weisungen vom Vertreter

Dipl.-Ing. Margot Müller-Gerbes
Friedrich-Breuer-Strasse 112
D-53225 Bonn
Germany

bezüglich jedwelcher erforderlichen Tätigkeiten gegenüber dem Patent- und Warenzeichen-Amt der USA entgegenzunehmen und auszuführen, ohne dass die obengenannten amerikanischen Rechtsanwälte mit dem Unterzeichnenden direkt Kontakt aufnehmen müssen. Im Falle, dass die Vertreterin oder der Vertreter, von denen Instruktionen entgegengenommen werden dürfen, geändert werden soll, so werden die genannten amerikanischen Rechtsanwälte vom Unterzeichnenden unterrichtet.

Voller Name des einzigen oder ursprünglichen Erfinders:

Andreas BÖHM

Unterschrift des Erfinders Datum
Andreas Böhm, 22. Dez. 2003
Wohnsitz

Troisdorf, Germany
Staatsangehörigkeit

Germany
Postanschrift
Josef-Frank-Straße 29
DE-53842 Troisdorf, Germany

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following Attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Thomas W. Speckman Douglas H. Pauley
Reg. No. 22,617 Reg. No. 33,295

Maxwell J. Petersen Roland W. Norris
Reg. No. 32,772 Reg. No. 32,799

Kevin D. Erickson Melanie I. Rauch
Reg. No. 38,736 Reg. No. 40,924

Nick C. Kottis
Reg. No. 31,974

Eric T. Krischke
Reg. No. 42,769

Direct Telephone Calls to: (name and telephone number)

Douglas H. Pauley (847) 490-1400
Send Correspondence to:

Pauley Petersen & Erickson
2800 W. Higgins Road, Suite 365
Hoffman Estates, IL 60195

AUTHORIZATION OF ATTORNEYS TO ACCEPT AND FOLLOW INSTRUCTIONS FROM REPRESENTATIVE

The undersigned to this declaration and power of attorney hereby authorizes the U.S. attorneys named above to accept and follow instructions from

Dipl.-Ing. Margot Müller-Gerbes
Friedrich-Breuer-Strasse 112
D-53225 Bonn
Germany

as to any actions to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys will be so notified by the undersigned.

Full name of sole or first inventor:

Andreas BÖHM

Inventor's signature Date
Andreas Böhm, 22 Dec. 2003
Residence

Troisdorf, Germany
Citizenship

Germany
Post Office Address
Josef-Frank-Straße 29
DE-53842 Troisdorf, Germany

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German Language Declaration

Voller Name des zweiten Miterfinders
(falls zutreffend):

Helmut MEYER

Unterschrift des Erfinders

Datum

Helmut Meyer 22. Dec. 2003

Wohnsitz

Troisdorf, Germany

Staatsangehörigkeit

Germany

Postanschrift

Im Zehntfeld 25

DE-53842 Troisdorf, Germany

Full name of second joint inventor:

Helmut MEYER

Inventor's signature

Date

Helmut Meyer 22. Dec. 2003

Residence

Troisdorf, Germany

Citizenship

Germany

Post Office Address

Im Zehntfeld 25

DE-53842 Troisdorf, Germany

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Form PTO-FB-240 (8-83)

Page 4 of 4

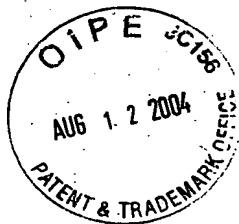
Patent and Trademark Office-U.S. DEPARTMENT OF COMMERCE

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Mail Stop MISSING PARTS, Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

P460/cbs

Please affix the USPTO receipt stamp hereon as evidence of receipt of the following enclosed papers.

- Response to Notice to File Missing Parts of Nonprovisional Application (2 pages), in duplicate
- Notification of Amendment to Claim for Priority (2 pages)
- Substitute executed German Language Declaration (4 pages), attached to a copy of the U.S. Patent Application as originally filed in order to obtain a filing date for the referenced application
- First Information Disclosure Statement (4 pages), together with Form PTO-1449 (3 pages), and with a copy of each and every cited non-U.S. Patent document and U.S. Patent Application 10/117,684
- A check in the amount of \$900.00 to cover the filing fee and surcharge fee
- A copy of the Notice to File Missing Parts of Nonprovisional Application (2 pages)

All by Certificate of Mailing dated 17 February 2004.

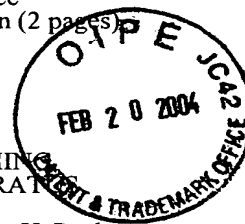
Applicants: Andreas BOHM et al.

Serial No.: 10/693,270

Filing Date: 24 October 2003

Title: WINDING APPARATUS AND METHOD FOR PERFORMING
A CHANGE OF WINDING TUBE IN A WINDING APPARATUS

MMG-125 ✓



Douglas H. Pauley

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